



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SECTOR

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/437,276 11/10/99 PAULS

M 3548.04-1

0242/1210

PHILLIPS MOORE LEMPIO AND FINLEY
SUITE 6
385 SHERMAN AVENUE
PALO ALTO CA 94306-1840

NOT ASSIGNED

DATE MAILED: 1711

12/10/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 65.00.

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$_____ for _____ total claims over 20.

\$_____ for _____ independent claims over 3.

\$_____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

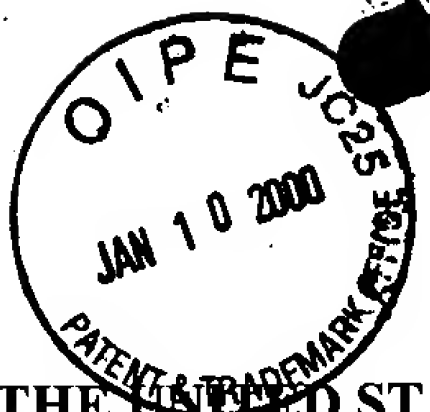
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (EO/US)

In re Application of)
)
 Inventor's Name: Mathias Pauls, et al.) Group Art: 1711
)
 U.S. Serial No.: 09/473,276) Examiner:
)
 U.S. Filing Date: November 10, 1999)
)
 Int. Filing Date February 10, 1994)
)
 Priority Date Claimed: February 10, 1993)
)
 For: PREPOLYMER COMPOSITION FOR)
INSULATING FOAMS)
 Assistant Commissioner for Patents
 Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail (Express Mail Label No.: EL293605563US) addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on January 10, 2000.

Howard M. Peters (Reg. No. 29,202)

TRANSMITTAL

Sir:

Enclosed for filing please find the following documents:

1. Transmittal Letter;
2. Copy of USPTO Notice to File Missing Parts of Application (PTO-1533);
3. Response To Notice To File Missing Parts of Application;
4. Check for \$65.00 (Check No. 21399)
5. Postcard

If additional fees are required for filing these documents, the Commissioner is hereby authorized to charge or credit any overpayment to Deposit Account No. 16-1331.

Respectfully submitted,



Date: January 10, 2000

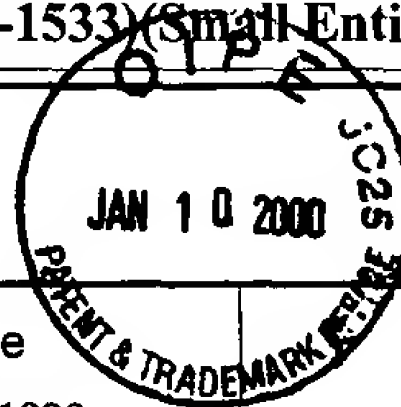
Howard M. Peters (Reg. No. 29,202)
PETERS, VERNY, JONES & BIKŠA, LLP
385 Sherman Avenue, Suite 6
Palo Alto, CA 94306
Attorneys for Applicants
Telephone: (650) 324-1677
Facsimile: (650) 324-1678

HMP:ja
Enclosures
f:\HMP\3548.04-1\Trans.ltr.wpd

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
3548.04-1

In Re Application Of: Mathias Pauls, et al.



Serial No.
09/473,276

Filing Date
November 10, 1999

Examiner

Group Art Unit
1711

Invention: **PREPOLYMER COMPOSITIONS FOR INSULATING FOAMS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
December 10, 1999
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ 1 verified small entity declaration(s)
 - ☐ is/are attached.
 - ☒ was/were filed on November 10, 1999
- ☐ A separate request for refund.
- ☒ Other (list):

Transmittal

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
3548.04-1

In Re Application Of: Mathias Pauls, et al.

Serial No.
09/473,276

Filing Date
November 10, 1999

Examiner

Group Art Unit
1711

Invention: PREPOLYMER COMPOSITIONS FOR INSULATING FOAMS

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims =

☐ Total number of claims =

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath

\$65.00

☐ Petition and fee for filing by other than all the inventors or a person not the invention

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees

\$65.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month

☐ Two months

☐ Three months

☐ Four months

☐ Five months

from:

Date

until:

Date

Total time extension fees

Total fees due

\$65.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
3548.04-1

In Re Application Of: Mathias Pauls, et al.

Serial No.
09/473,276

Filing Date
November 10, 1999

Examiner

Group Art Unit
1711

Invention: PREPOLYMER COMPOSITIONS FOR INSULATING FOAMS

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of \$65 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
☐ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 16-1331
A duplicate copy of this sheet is enclosed.
☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 16-1331
A duplicate copy of this sheet is enclosed.

Howard M. Peters #29,202
Signature

Dated:

January 10, 2000

EL293605563US

I certify that this document and fee is being deposited on January 10, 2000 with the U.S. Postal Service as Express Mail (Label No. EL293605563US) under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231.

Howard M. Peters 1-10-2000

CC: Howard M. Peters (Reg. No. 29, 202)

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence